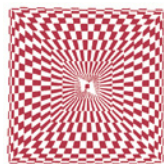


PREFERRED PRACTICE PATTERN®



Comprehensive Adult Medical Eye Evaluation



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Preferred Practice Pattern® guidelines are developed by the Academy's H. Dunbar Hoskins Jr., MD Center for Quality Eye Care without any external financial support. Authors and reviewers of the guidelines are volunteers and do not receive any financial compensation for their contributions to the documents. The guidelines are externally reviewed by experts and stakeholders before publication.



COMPREHENSIVE ADULT MEDICAL EYE EVALUATION PREFERRED PRACTICE PATTERN® DEVELOPMENT PROCESS AND PARTICIPANTS

The **Preferred Practice Patterns Committee** members wrote the Comprehensive Adult Medical Eye Evaluation Preferred Practice Pattern® guidelines ("PPP"). The committee members discussed and reviewed successive drafts of the document, meeting in person once and conducting other review by e-mail discussion, to develop a consensus over the final version of the document.

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The Comprehensive Adult Medical Eye Evaluation PPP was then sent for review to additional internal and external groups and individuals in July 2015. All those who returned comments were required to provide disclosure of relevant relationships with industry to have their comments considered (indicated with an asterisk below). Members of the Preferred Practice Patterns Committee reviewed and discussed these comments and determined revisions to the document.

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FINANCIAL DISCLOSURES

In compliance with the Council of Medical Specialty Societies' Code for Interactions with Companies (available at www.cmss.org/codeforinteractions.aspx), relevant relationships with industry are listed. The Academy has Relationship with Industry Procedures to comply with the Code (available at www.aao.org/about-preferred-practice-patterns). A majority (100%) of the members of the Preferred Practice Patterns Committee 2015 had no related financial relationship to disclose.

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The disclosures of relevant relationships to industry of other reviewers of the document from January to August 2015 are available online at www.aao.org/ppp.



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OBJECTIVES OF PREFERRED PRACTICE PATTERN® GUIDELINES

As a service to its members and the public, the American Academy of Ophthalmology has developed a series of Preferred Practice Pattern® guidelines that **identify characteristics and components of quality eye care**. Appendix 1 describes the core criteria of quality eye care.

The Preferred Practice Pattern® guidelines are based on the best available scientific data as interpreted by panels of knowledgeable health professionals. In some instances, such as when results of carefully conducted clinical trials are available, the data are particularly persuasive and provide clear guidance. In other instances, the panels have to rely on their collective judgment and evaluation of available evidence.

These documents provide guidance for the pattern of practice, not for the care of a particular individual. While they should generally meet the needs of most patients, they cannot possibly best meet the needs of all patients. Adherence to these PPPs will not ensure a successful outcome in every situation. These practice patterns should not be deemed inclusive of all proper methods of care or exclusive of other methods of care reasonably directed at obtaining the best results. It may be necessary to approach different patients' needs in different ways. The physician must make the ultimate judgment about the propriety of the care of a particular patient in light of all of the circumstances presented by that patient. The American Academy of Ophthalmology is available to assist members in resolving ethical dilemmas that arise in the course of ophthalmic practice.

Preferred Practice Pattern® guidelines are not medical standards to be adhered to in all individual situations. The Academy specifically disclaims any and all liability for injury or other damages of any kind, from negligence or otherwise, for any and all claims that may arise out of the use of any recommendations or other information contained herein.

References to certain drugs, instruments, and other products are made for illustrative purposes only and are not intended to constitute an endorsement of such. Such material may include information on applications that are not considered community standard, that reflect indications not included in approved U.S. Food and Drug Administration (FDA) labeling, or that are approved for use only in restricted research settings. The FDA has stated that it is the responsibility of the physician to determine the FDA status of each drug or device he or she wishes to use, and to use them with appropriate patient consent in compliance with applicable law.

Innovation in medicine is essential to ensure the future health of the American public, and the Academy encourages the development of new diagnostic and therapeutic methods that will improve eye care. It is essential to recognize that true medical excellence is achieved only when the patients' needs are the foremost consideration.

All Preferred Practice Pattern® guidelines are reviewed by their parent panel annually or earlier if developments warrant and updated accordingly. To ensure that all PPPs are current, each is valid for 5 years from the "approved by" date unless superseded by a revision. Preferred Practice Pattern guidelines are funded by the Academy without commercial support. Authors and reviewers of PPPs are volunteers and do not receive any financial compensation for their contributions to the documents. The PPPs are externally reviewed by experts and stakeholders, including consumer representatives, before publication. The PPPs are developed in compliance with the Council of Medical Specialty Societies' Code for Interactions with Companies. The Academy has Relationship with Industry Procedures (available at www.aao.org/about-preferred-practice-patterns) to comply with the Code.

The intended users of the Comprehensive Medical Adult Eye Evaluation PPP are ophthalmologists.



METHODS AND KEY TO RATINGS

Preferred Practice Pattern guidelines should be clinically relevant and specific enough to provide useful information to practitioners. Where evidence exists to support a recommendation for care, the recommendation should be given an explicit rating that shows the strength of evidence. To accomplish these aims, methods from the Scottish Intercollegiate Guideline Network¹ (SIGN) and the Grading of Recommendations Assessment, Development and Evaluation² (GRADE) group are used. GRADE is a systematic approach to grading the strength of the total body of evidence that is available to support recommendations on a specific clinical management issue. Organizations that have adopted GRADE include SIGN, the World Health Organization, the Agency for Healthcare Research and Policy, and the American College of Physicians.³

- ◆ All studies used to form a recommendation for care are graded for strength of evidence individually, and that grade is listed with the study citation.
- ◆ To rate individual studies, a scale based on SIGN¹ is used. The definitions and levels of evidence to rate individual studies are as follows:

I++	High-quality meta-analyses, systematic reviews of randomized controlled trials (RCTs), or RCTs with a very low risk of bias
I+	Well-conducted meta-analyses, systematic reviews of RCTs, or RCTs with a low risk of bias
I-	Meta-analyses, systematic reviews of RCTs, or RCTs with a high risk of bias
II++	High-quality systematic reviews of case control or cohort studies High-quality case-control or cohort studies with a very low risk of confounding or bias and a high probability that the relationship is causal
II+	Well-conducted case-control or cohort studies with a low risk of confounding or bias and a moderate probability that the relationship is causal
II-	Case-control or cohort studies with a high risk of confounding or bias and a significant risk that the relationship is not causal
III	Non-analytic studies (e.g., case reports, case series)

- ◆ Recommendations for care are formed based on the body of the evidence. The body of evidence quality ratings are defined by GRADE² as follows:

Good quality	Further research is very unlikely to change our confidence in the estimate of effect
Moderate quality	Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate
Insufficient quality	Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate Any estimate of effect is very uncertain

- ◆ Key recommendations for care are defined by GRADE² as follows:

Strong recommendation	Used when the desirable effects of an intervention clearly outweigh the undesirable effects or clearly do not
Discretionary recommendation	Used when the trade-offs are less certain—either because of low quality evidence or because evidence suggests that desirable and undesirable effects are closely balanced

- ◆ The Highlighted Recommendations for Care section lists points determined by the PPP Committee to be of particular importance to vision and quality of life outcomes.
- ◆ All recommendations for care in this PPP were rated using the system described above. Ratings are embedded throughout the PPP main text in italics.
- ◆ Literature searches to update the PPP were undertaken in February 2015 in the PubMed database. Complete details of the literature searches are available in Appendix 2.



HIGHLIGHTED RECOMMENDATIONS FOR CARE

The recommended frequency for adult comprehensive medical eye examinations for asymptomatic patients, and for patients who do not have risk factors for eye disease, is as follows: under 40 years—every 5–10 years; 40 to 54 years—every 2–4 years; 55 to 64 years—every 1–3 years; and 65 years or older—every 1–2 years. (*moderate quality, strong recommendation*)

The first recommended adult comprehensive medical eye examination, and subsequent frequency of examination for patients who have diabetes mellitus, varies depending on the type of diabetes and whether a woman is pregnant. The recommendations are as follows: (1) type 1 diabetes mellitus—first examination 5 years after onset and yearly afterwards; (2) type 2 diabetes mellitus—first examination at the time of diagnosis and yearly afterwards; and (3) for women with type 1 or type 2 diabetes—first examination prior to conception and then early in the first trimester of pregnancy. Interval recommendations thereafter will be based on findings at first examination. (*moderate quality, strong recommendation*) (Note: Women who develop gestational diabetes do not require an eye examination during pregnancy, and they do not appear to be at increased risk for developing diabetic retinopathy during pregnancy.)

Recommended frequency of comprehensive medical eye examinations for adults who have risk factors for glaucoma, such as African Americans and Hispanics, by age group is as follows: under 40 years—every 1–2 years; 40 to 54 years—every 1–3 years; and 55 and older—every 1–2 years. (*moderate quality, strong recommendation*)



INTRODUCTION

PATIENT POPULATION

Adults with no known ocular conditions or risk factors, adults with previously identified conditions or risk factors, or adults with recurrent or new symptoms.

CLINICAL OBJECTIVES

- ◆ Detect and diagnose ocular abnormalities and diseases
- ◆ Identify risk factors for ocular disease
- ◆ Identify risk factors for systemic disease based on ocular findings
- ◆ Establish the presence or absence of ocular signs or symptoms of systemic disease
- ◆ Determine the refractive state and health status of the eye, visual system, and related structures
- ◆ Discuss the results and implications of the examination with the patient
- ◆ Initiate an appropriate management plan, including determination of the frequency of future visits, further diagnostic tests, referral, or treatment



BACKGROUND

Comprehensive adult medical eye evaluation is the focus of this document. Patients may seek this evaluation for a variety of reasons. A comprehensive medical eye evaluation is recommended for patients who have not been examined for an extended period of time by an ophthalmologist or who are being seen for the first time. Recommended intervals between comprehensive examinations vary with age and risk factors. A thorough ophthalmic evaluation can detect common abnormalities of the visual system and related structures as well as less common yet extremely serious ones, such as ocular tumors. Such an evaluation can also uncover evidence of systemic disease that have associated ophthalmic manifestations. All patients, particularly those with risk factors for ocular disease, should be re-examined periodically to prevent or minimize vision loss by detecting and treating the disease at an early stage. Patients in whom ophthalmic disease(s) are identified require periodic comprehensive examinations for optimal monitoring and treatment of the condition(s). With appropriate and timely intervention, potentially blinding diseases such as glaucoma, cataract, age-related macular degeneration (AMD) and diabetic retinopathy often have a more favorable outcome. Studies have indicated that up to 40% of legal blindness found among nursing home residents,⁴ as well as in both urban⁵ and rural⁶ communities, could have been prevented or ameliorated if those individuals had received timely ophthalmic screening and care. In a population-based study, 63% of the participants who had eye disease were not aware of it.⁷

RATIONALE FOR COMPREHENSIVE MEDICAL EYE EVALUATIONS

The rationale for performing periodic comprehensive medical eye examinations in adults without known ocular conditions or risk factors is to detect ocular diseases, visual dysfunction, or ophthalmic signs of systemic disease in the adult population. Early recognition, counseling, or treatment may preserve visual function or, in the case of systemic diseases, could prevent serious illness or even premature death. Irreversible vision loss has been associated with adverse effects on quality of life and mental health,^{8,9} and self-reported visual loss has been found to be significantly associated with depression.¹⁰ Comprehensive medical eye evaluations are also performed periodically to evaluate new symptoms and monitor patients with previously identified eye conditions or risk factors.

The public health impact of eye disease is substantial, because vision affects daily functioning.¹¹⁻¹⁵ Improvement in visual function that occurs as a result of treatment of ocular disorders is accompanied by improvement in life satisfaction and mental health and by participation in home and community activities.¹⁶⁻¹⁹ Vision plays a critical role in mobility and in fall prevention.²⁰⁻²³ Untreated visual impairment has been associated with cognitive decline and Alzheimer's disease.²⁴ In women 65 and

older, poorer visual acuity and reduced contrast sensitivity have been associated with a higher risk of mortality.²⁵ A higher risk of motor vehicle collisions was found among drivers with glaucoma who had severe visual field defects.²⁶ Cataract surgery in older drivers has been shown to reduce the subsequent motor vehicle collision rate.²⁷ Visual impairment, AMD, and cataract have been associated with an increased risk of mortality.^{28,29}

OCULAR DISEASES

In 2000, about 937,000 adults 40 and older in the United States were legally blind (best-corrected visual acuity of 20/200 or less in each eye), and an additional 2.4 million were visually impaired (best-corrected visual acuity of <20/40 in the better-seeing eye).³⁰ The highest frequencies of visual impairment and legal blindness were found in individuals 80 years and older and generally correlated with age.^{30,31} Rates of visual impairment and legal blindness were disproportionately higher among individuals of African descent compared with individuals of European descent.^{5,30,32} Rates of visual impairment (defined as visual acuity <20/40 in the better-seeing eye) were higher among individuals of Hispanic/Latino descent compared with individuals of European or African descent.^{30,33}

Many patients will be unaware that they have a vision-threatening ocular condition because of the lack of early symptoms (see Table 1). These conditions include common and often treatable diseases such as glaucoma, diabetic retinopathy, and some forms of macular degeneration.

Open-Angle Glaucoma

Primary open-angle glaucoma is a significant public health problem. It is estimated that 45 million people in the world have open-angle glaucoma (OAG).³⁴ Glaucoma (both open-angle and angle-closure) is the second leading cause of blindness worldwide, with approximately 8.4 million people blind from glaucoma.³⁴ Overall in 2004, the prevalence of POAG for adults aged 40 and older in the United States was estimated to be about 2%.³⁵ Open-angle glaucoma affects an estimated 2.2 million people in the United States, and that number is likely to increase to 3.3 million in 2020 as the population ages.^{36,37} However, large differences exist in the prevalence of glaucoma among different ethnoracial groups. Overall, there appears to be a threefold higher prevalence of OAG in African Americans relative to non-Hispanic whites in the United States.^{35,38} It is also the leading cause of blindness in African Americans.³⁸ Further, the prevalence of OAG is even higher in Afro-Caribbeans relative to African Americans. Recent evidence on Hispanics/Latinos suggests that they have high prevalence rates of OAG that are comparable to the prevalence rates for African Americans.³⁹ An analysis of claims data from a large U.S.-based managed care plan suggests that the prevalence of OAG among Asian Americans is comparable to the prevalence among Latinos and is higher than that of non-Hispanic white Americans.⁴⁰

Primary Angle Closure

There are considerable differences in the prevalence of angle closure among racial and ethnic groups. The highest rates are reported in Inuit,⁴¹⁻⁴³ Chinese,⁴⁴⁻⁴⁸ and other Asian⁴⁹⁻⁵⁷ populations; lower rates are reported in populations of African and African-derived origin^{38,58,59} and European and European-derived origin.⁶⁰⁻⁶⁶ Primary angle-closure glaucoma may account for the majority of glaucoma in Asian populations.^{49,67,68}

Diabetes Mellitus

The number of adults with diagnosed diabetes mellitus in the United States has nearly quadrupled from 5.5 million to 21.3 million from 1980 to 2012. It is estimated that about 8.1 million of these adults are not aware that they have diabetes. About 1.7 million new cases of diabetes in adults are diagnosed each year. If this trend continues, as many as 1 out of every 3 adults could have diabetes by 2050. The Centers for Disease Control and Prevention estimates that 86 million U.S. adults—more than 1 in 3—had prediabetes in 2012, based on impaired fasting blood glucose levels.⁶⁹ Obesity is a recognized risk factor for type 2 diabetes mellitus, but the risks are heterogeneous such that not all obese patients will develop diabetes.⁷⁰

The prevalence rate of diabetic retinopathy for all adults 40 and older in the United States is 3.4% (4.1 million persons); the prevalence rate of vision-threatening retinopathy is 0.7% (899,000 persons).⁷¹ Assuming a similar prevalence of diabetes mellitus, the projected numbers in 2020 would be 6 million persons with diabetic retinopathy and 1.34 million persons

with vision-threatening diabetic retinopathy. Although effective treatment for reducing the risk of blinding diabetic retinopathy and diabetic macular edema is available,⁷²⁻⁷⁹ the number of patients with diabetes referred by their primary care physicians or who present for ophthalmic care falls far below that which would be predicted based on the guidelines of the American Diabetic Association and the American Academy of Ophthalmology.⁸⁰⁻⁸⁴ Regular examination and follow-up of all patients with diabetes reinforces the importance of recommended dietary and medication compliance and can lead to earlier detection and treatment of retinopathy. Regular examinations, coupled with appropriate local and systemic medical therapy (including pharmacotherapy) and laser treatment for those who require it, have been shown to be extremely cost-effective in the diabetic population, particularly when compared with disability payments for those who would otherwise become blind.⁸⁵⁻⁸⁷ Local pharmacotherapies, including intravitreal injections, are becoming more frequently used in the management of these conditions.

TABLE 1 PREVALENCE OF MAJOR OCULAR DISEASES AND CONDITIONS THAT MAY BE ASYMPTOMATIC

Disease or Condition	Prevalence	Risk Factors for Disease or Disease Progression	Potentially Positive Findings on Examinations
Choroidal nevi	5%–8%; increases with age, and more common in white Americans. ⁸⁸ (Note: Findings are based on 45 degree fundus images centered on the fovea and optic nerve.)	White American populations and increasing age ⁸⁸	Clearly defined margins, often flat or slightly elevated; typically stable in size. Over time, choroidal nevi may display overlying drusen, retinal pigment epithelial atrophy, hyperplasia, or fibrous metaplasia
Open-angle glaucoma	African Americans age ≥40: 3.4% ³⁵ White Americans age ≥40: 1.7% ³⁵ Individuals of Hispanic descent age ≥40: 2% ⁸⁹ –4.7% ³⁹	African, Hispanic, or Latino descent, ^{35,38,39,90} increased age, ^{35,38,61,63,89,90} family history of glaucoma, ^{91,92} elevated IOP, ^{93,94} thin central cornea ^{93,94}	Abnormal optic disc and nerve fiber layer defect, characteristic visual field defect, elevated IOP, decreased vision (late stages), exfoliation material on the lens capsule, signs of pigment dispersion syndrome (including Krukenberg spindle)
Primary angle-closure glaucoma	0.009% ⁶² –2.6% ⁴² (highest rates in Inuit and Asian populations) Individuals of Hispanic descent age >40: 0.1% ⁸⁹	Hyperopia, family history of angle closure, increasing age, ⁴⁶ female gender, ^{95,96} Inuit or Asian descent ^{46,67,97,98}	Narrow angles, evidence of pupillary block
Diabetic retinopathy	General population age ≥ 40: 3.4% ⁷¹ Individuals age ≥40 with type 1 or type 2 diabetes: 28.5% ⁹⁹ –40.3% ⁷¹ Individuals of Hispanic descent with type 1 or type 2 diabetes age ≥40: 46.9% ¹⁰⁰	Increasing duration of diabetes, ⁹⁹⁻¹⁰¹ high levels of glycosylated hemoglobin, ^{99,102-109} high systolic blood pressure, ^{99,110,111} elevated serum lipid levels ¹¹²⁻¹¹⁴	Retinal microaneurysms, hemorrhages, lipid exudates, intraretinal microvascular anomalies, retinal edema, retinal neovascularization, preretinal or vitreous hemorrhage
Early AMD	White Americans age ≥45: 4.8% ¹¹⁵ Individuals of African descent age ≥45: 2.1% ¹¹⁵ Individuals of Hispanic descent age ≥45: 4.0% ¹¹⁵ Individuals of Hispanic descent age ≥40: 7.5% ¹¹⁶ Individuals of Asian descent age 40–79: 6.8% ¹¹⁷	Increasing age, ¹¹⁸⁻¹²⁰ bilateral soft drusen, large drusen, confluent drusen, clumping or atrophy of retinal pigment epithelium, ¹²¹⁻¹²³ family history, genetic polymorphisms, smoking, poor diet/nutrition	Subretinal hemorrhage, intermediate or large drusen associated with hypopigmented or hyperpigmented changes, geographic atrophy, or retinal pigmented epithelial detachments
Late AMD	White Americans age ≥45: 0.6% ¹¹⁵ Individuals of African descent age ≥45: 0.3% ¹¹⁵ Individuals of Hispanic descent age ≥45: 0.2% ^{115,116} Individuals of Hispanic descent age ≥40: 0.2% ¹¹⁶ Individuals of Asian descent age 40–79: 0.56% ¹¹⁷	Increasing age, ¹¹⁸⁻¹²⁰ family history, smoking, bilateral soft drusen, large drusen, confluent drusen, clumping or atrophy of retinal pigment epithelium, ^{124,125} body mass index and genetic factors ^{126,127}	Drusen and associated retinal pigment epithelial changes, geographic atrophy or hemorrhage, lipid or subretinal fluid

AMD = age-related macular degeneration; IOP = intraocular pressure

Age-Related Macular Degeneration

Age-related macular degeneration is a leading cause of severe, irreversible vision impairment among white Americans.¹²⁸ In 2004, it was estimated that approximately 1.75 million people aged 40 years or older in the United States have either neovascular AMD or geographic atrophy in at least one eye and that 7.3 million have high-risk features, such as large drusen ($\geq 125\ \mu\text{m}$), in one or both eyes.¹²⁸ The prevalence, incidence, and progression of AMD and most associated features (e.g., large drusen) increase significantly with age.^{119,120,128} For example, the prevalence of AMD in white females 60 to 64 is 0.3%, increasing to 16.4% in white females 80 and older.¹²⁸ Age-related macular degeneration is usually asymptomatic in its early stages, although a fundus examination is helpful in identifying patients with an increased risk of developing choroidal neovascularization or advanced AMD.¹²⁴ It is important to identify those patients at higher risk because the AREDS2 supplement formulation (i.e., vitamin C, vitamin E, zinc, copper, lutein, zeaxanthin) has been shown to have preventive efficacy.¹²⁹ An estimated 8 million persons at least 55 years old in the United States have monocular or binocular intermediate AMD or monocular advanced AMD. They should be considered to be at high risk for advanced AMD and are the population for whom the AREDS2 formulation should be considered. If all the patients at risk were given supplements, then more than 300,000 could delay disease progression and associated vision loss.¹²⁹

Cigarette smoking has been consistently identified in numerous studies as a risk factor for progression of AMD, and the risk increases relative to the number of pack-years smoked.¹³⁰⁻¹³⁷ Smoking-cessation counseling may influence patients to stop smoking, reducing the risk of AMD progression. Patients with neovascular AMD report a substantial decline in their quality of life and have an increased need for assistance with activities of daily living that progresses as visual acuity worsens.¹³⁸ Early treatment of AMD is associated with a more favorable prognosis.¹³⁹ Anti-VEGF treatment given within 2 years after diagnosis of neovascular AMD in non-Hispanic white patients has been shown to reduce legal blindness and visual impairment.¹⁴⁰ Because early symptoms may be subtle, a comprehensive eye examination may represent a patient's best opportunity to be diagnosed and treated at an earlier and potentially more favorable stage.

Cataract

Cataract remains a significant cause of visual disability in the United States, accounting for approximately 50% of low-vision cases in adults over 40.³⁰ Cataract is the leading cause of treatable blindness among Americans of African descent who are 40 years of age and older, and it is the leading cause of low vision among individuals of African, Hispanic/Latino, and European descent.³⁰ Because smoking increases the risk of cataract progression,^{141,142} informing smokers about this and other associated ocular and systemic diseases may influence them to stop smoking.

Other Ocular Disorders

Other examples of high-risk conditions or diseases that necessitate a medical eye examination include a past history of ocular trauma or the presence of abnormalities of the anterior segment, such as corneal ectasia, corneal dystrophies, or peripheral anterior synechiae. Conditions that increase the risk of open-angle glaucoma (e.g., exfoliation syndrome and pigment dispersion syndrome) and angle-closure glaucoma (narrow anterior chamber angle) should also be evaluated. High myopia and abnormalities of the posterior segment, such as retinal tears or retinal degenerations (i.e., lattice degeneration or subclinical asymptomatic retinal detachments), increase the risk of retinal detachment.

SYSTEMIC DISEASES AND CONDITIONS

Important ophthalmic manifestations associated with systemic infectious, neoplastic, autoimmune, vascular, and nutrition-related diseases may be discovered during the ocular examination. Therefore, findings that lead to the diagnosis of a number of systemic diseases may be revealed during a comprehensive ophthalmic evaluation.

The following components of the comprehensive examination may identify signs of systemic diseases or other serious medical conditions:

- ◆ External examination: orbital tumor, Graves' disease, metabolic storage diseases
- ◆ Pupillary function: optic nerve disorders, like Horner's syndrome (an optic nerve glioma that can occur in isolation)
- ◆ Ocular alignment and motility: neurological disorders (e.g., myasthenia gravis, Graves' disease, central nervous system defects or aneurysm, multiple sclerosis)
- ◆ Visual fields by confrontation: chiasmal tumors
- ◆ Anterior segment: drug or heavy-metal toxicity; immune-mediated diseases, like rheumatoid arthritis; infectious diseases; vitamin A deficiency; metabolic, endocrine, or storage diseases
- ◆ Lens: Alport syndrome, Apert syndrome, atopic disease, juvenile rheumatoid arthritis, myotonic dystrophy, Wilson disease, homocystinuria, Marfan syndrome, Weill-Marchesani syndrome
- ◆ Posterior segment: systemic hypertension, diabetes mellitus, infectious diseases (e.g., acquired immunodeficiency syndrome, tuberculosis, syphilis, histoplasmosis, toxoplasmosis), immune-mediated diseases, vasculitis, primary or metastatic tumors, metabolic storage diseases, phakomatoses, hematologic diseases, cerebrovascular disease, increased intracranial pressure, toxicity from hydroxychloroquine, tamoxifen, or phenothiazines

SOCIOECONOMIC CONSIDERATIONS

In 2006, the societal cost of major visual disorders (AMD, cataract, diabetic retinopathy, POAG, refractive errors) among U.S. residents 40 and older was estimated to be \$35.4 billion. This total comprised \$16.2 billion in direct medical costs, \$11.1 billion in other direct costs, and \$8 billion in productivity losses.¹⁴³ Not included in this total are costs associated with comorbid conditions, such as depression or injury.

In another study, U.S. residents 40 and older with blindness or visual impairment had estimated excess medical expenditures of \$5.1 billion annually.¹⁴⁴ This estimate includes the cost of home care and informal care for blind and visually impaired adults. The study also estimated that the total number of quality-adjusted life years (QALY) lost for individuals with blindness or visual impairment was 209,000. Valuing each QALY lost at \$50,000 would add \$10.4 billion to the estimate of the annual economic impact of visual impairment and blindness.

In 2012, the costs of vision loss and eye disorders among the population younger than 40 years were estimated at \$27.5 billion (95% confidence interval, \$21.5–\$37.2 billion), including \$5.9 billion for children and \$21.6 billion for adults 18 to 39 years of age in the United States. This total comprised of \$14.5 billion in direct costs, including \$7.3 billion for diagnosed eye disorders, \$4.9 billion in refraction correction, and \$0.5 billion for undiagnosed vision loss. The indirect costs were \$13 billion, due mainly to productivity losses. In addition, this cumulative vision loss cost society 215,000 QALYs.¹⁴⁵ There were significant differences in the use of eye care services by adults with eye diseases in the United States with respect to socioeconomic position, as measured by poverty-income ratio and educational attainment.¹⁴⁶

In Australia, researchers estimated that the economic impact and cost in 2004 was A\$9.85 billion (≈ US\$7.02 billion), with vision disorders ranking seventh in the direct health care costs of various health conditions.¹⁴⁷ Vision loss was also the seventh leading cause of disability in Australia, with the years of life lost to disability valued at \$4.8 billion (≈ US\$3.42 billion) annually.

In 2006, the annual nonmedical costs related to visual impairment in four European countries (France, Germany, Italy, and the United Kingdom) were estimated at €10,749 million (≈ US\$13,712 million) in France, €9,214 million (≈ US\$11,754 million) in Germany, €12,069 million (≈ US\$15,396 million) in Italy, and €15,180 million (≈ US\$19,364 million) in the United Kingdom.¹⁴⁸



CARE PROCESS

A comprehensive medical eye evaluation includes a history, examination, diagnosis, and initiation of management. The examination includes a careful and thorough detection and diagnosis of ophthalmic disorders, implementation of appropriate therapy for refractive error and both ocular and systemic disease. The items listed are basic areas of evaluation or investigation and are not meant to exclude additional elements when appropriate. For example, because history-taking is an interactive process, the patient's responses may guide the clinician to pursue additional questions and evaluation.

HISTORY

In general, a thorough history may include the following items:

- ◆ Demographic data (e.g., name, date of birth, gender, and ethnicity or race)
- ◆ Patient's other pertinent health care providers
- ◆ Chief complaint and history of present illness
- ◆ Present status of visual function (e.g., patient's self-assessment of visual status, visual needs, any recent or current visual symptoms, and use of eyeglasses or contact lenses)
- ◆ Ocular symptoms (e.g., eyelid swelling, diplopia, redness, photophobia)
- ◆ Past ocular history (e.g., prior eye diseases, injuries, surgery, including cosmetic eyelid and refractive surgery, or other treatments and medications)
- ◆ Systemic history: medical conditions and previous surgery
- ◆ Medications: ophthalmic and systemic medications currently used, including nutritional supplements and other over-the-counter products
- ◆ Allergies or adverse reactions to medications
- ◆ Family history: pertinent familial ocular (e.g., glaucoma, AMD) and systemic disease
- ◆ Social history (e.g., occupation; tobacco, alcohol, illicit drug use; family and living situation as appropriate)
- ◆ Directed review of systems

OCULAR EXAMINATION

The comprehensive eye examination consists of an evaluation of the physiological function and the anatomical status of the eye, visual system, and its related structures. This usually includes the following elements:

- ◆ Visual acuity with current correction (the power of the present correction recorded) at distance and, when appropriate, at near, with a refraction when indicated
- ◆ Visual fields by confrontation
- ◆ External examination (e.g., eyelid position and character, lashes, lacrimal apparatus and tear function; globe position; and pertinent facial features)
- ◆ Pupillary function (e.g., size and response to light, relative afferent pupillary defect)
- ◆ Ocular alignment and motility (e.g., cover/uncover test, alternate cover test, version and duction assessment)
- ◆ Slit-lamp biomicroscopic examination: eyelid margins and lashes; tear film; conjunctiva; sclera; cornea; anterior chamber; and assessment of central and peripheral anterior chamber depth, iris, lens, and anterior vitreous
- ◆ Intraocular pressure measurement, preferably with a contact applanation method (typically a Goldmann tonometer). Contact tonometry may be deferred in the setting of suspected ocular infection or corneal trauma.
- ◆ Fundus examination: mid and posterior vitreous, retina (including posterior pole and periphery), vasculature, and optic nerve
- ◆ Assessment of relevant aspects of patient's mental and physical status

Examination of anterior segment structures routinely involves gross and biomicroscopic evaluation prior to and after dilation. Evaluation of structures situated posterior to the iris is best performed through a dilated pupil. Optimal examination of optic nerve, macula, and the peripheral retina require the use of the indirect ophthalmoscope or slit-lamp fundus biomicroscopy with appropriate accessory diagnostic lenses.

Based on the patient's history and findings, additional tests or evaluations might be indicated to evaluate further a particular structure or function. These are not routinely part of the comprehensive medical eye clinical evaluation. Specialized clinical evaluation may include the following:

- ◆ Monocular near-vision testing
- ◆ Potential acuity testing
- ◆ Glare testing
- ◆ Contrast sensitivity testing
- ◆ Color-vision testing
- ◆ Testing of stereoacuity and fusion
- ◆ Testing of accommodation and convergence amplitudes
- ◆ Central visual field testing (Amsler grid)
- ◆ Expanded evaluation of ocular motility and alignment in multiple fields of gaze at distance and near
- ◆ Exophthalmometry (e.g., Hertel)
- ◆ Tear breakup time
- ◆ Schirmer testing and ocular surface dye staining
- ◆ Corneal sensation
- ◆ Gonioscopy
- ◆ Functional evaluation of the nasolacrimal tear drainage system
- ◆ Extended indirect ophthalmoscopy with scleral indentation
- ◆ Contact lens stereoscopic biomicroscopy (e.g., Goldmann three-mirror lens)

Additional diagnostic testing may include the following:

- ◆ Keratometry (e.g., to assess surface quality and power)
- ◆ Corneal topography/tomography, including analysis
- ◆ Measurement of corneal thickness (pachymetry, corneal tomography)
- ◆ Corneal endothelial cell analysis
- ◆ External, slit-lamp, or fundus photography
- ◆ Anterior and posterior segment imaging (e.g., optical coherence tomography [OCT], anterior segment OCT, ocular photography, high-frequency ultrasonography, or confocal microscopy)
- ◆ Visual fields by automated and/or manual perimetry
- ◆ Biometry
- ◆ Stereophotography or computer-based image analysis of the optic disc and retinal nerve fiber layer or macula
- ◆ Ophthalmic ultrasonography
- ◆ Fluorescein or indocyanine green angiography
- ◆ Electrophysiological testing
- ◆ Microbiology and cytology of ocular or periocular specimens
- ◆ In-office point-of-care testing (e.g., immunochromatography)
- ◆ Radiologic imaging
- ◆ Laboratory tests for systemic disease

DIAGNOSIS AND MANAGEMENT

The ophthalmologist evaluates and integrates the findings of the comprehensive ophthalmic examination with all aspects of the patient's health status and social situation in determining an appropriate course of action. (*good quality, strong recommendation*) Patients are considered in one of three general categories based on the results of the evaluation: patients with no risk factors, patients with risk factors, and patients with conditions that require intervention.

Category I: Patients with No Risk Factors

When the initial comprehensive evaluation is normal or involves only optical abnormalities that require corrective lenses, the ophthalmologist reviews the findings with the patient and renders advice regarding an appropriate interval for re-examination. Although this is considered a low-risk category, periodic re-examination is indicated to detect new, potentially asymptomatic, or unrecognized ocular disease, such as glaucoma, diabetic retinopathy, and AMD, the incidence of which increases with age.

A 5-year observational study of a nationally representative cohort of Medicare beneficiaries showed that patients 65 and older who had more regular eye examinations experienced less decline in vision and functional status than those who had less frequent examinations.¹⁴⁹ For each additional year in which a patient received an eye examination, there was an increased likelihood of continuing to read newsprint and maintaining activities of daily living, and there was a decreased risk of developing new limitations in activities of daily living and instrumental activities of daily living. Instrumental activities of daily living are activities related to independent living and include preparing meals, managing money, shopping for groceries or personal items, performing light or heavy housework, and using a telephone.

There is no strong evidence in the literature to define the optimal frequency of eye examinations of patients under 65 with no eye symptoms or signs. There is some evidence that clinically significant fundus abnormalities in asymptomatic patients increase with age,¹⁵⁰ but other evidence suggests that the diagnostic yield of dilated fundus examination in asymptomatic patients is not high, particularly in younger age groups.¹⁵¹ In the absence of symptoms or other indications following the initial comprehensive medical eye evaluation, periodic evaluations are recommended at the frequency indicated in Table 2, which takes into account the relationship between increasing age and the risk of asymptomatic or undiagnosed disease. At the time of each comprehensive medical eye evaluation, the ophthalmologist will reassess the patient to determine the appropriate follow-up interval. (*high quality, strong recommendation*) Adults with no signs or risk factors for eye disease should receive a comprehensive medical eye evaluation at age 40 if they have not previously received one.¹⁵² (*moderate quality, strong recommendation*)

Interim evaluations, such as screenings, refractions, or less extensive evaluations, are indicated to address episodic minor problems and complaints, or for patient reassurance. (*high quality, strong recommendation*) Other situations may warrant a comprehensive medical eye evaluation. The extent of the interim evaluation to be performed is determined by the patient's condition, symptoms, and by the ophthalmologist's medical judgment.

TABLE 2 COMPREHENSIVE MEDICAL EYE EVALUATION FOR ADULTS WITH NO RISK FACTORS

Age (years)	Frequency of Evaluation
65 or older ¹⁴⁹	Every 1–2 years (<i>II++</i> , <i>moderate quality, strong recommendation</i>)
55–64	Every 1–3 years (<i>moderate quality, strong recommendation</i>)
40–54	Every 2–4 years (<i>moderate quality, strong recommendation</i>)
Under 40	5–10 years (<i>moderate quality, strong recommendation</i>)

Interim eye evaluations, consisting of vision examinations (e.g., refractions, eyeglasses, contact lens evaluations), may be performed during these periods as well.

Category II: Patients with Risk Factors

A patient is considered to be at increased risk when the evaluation reveals signs that are suggestive of a potentially abnormal condition or when risk factors for developing ocular disease are identified but the patient does not yet require intervention. These situations may merit closer follow-up to monitor the patient's ocular health and to detect early signs of disease with additional testing.

The ophthalmologist determines an appropriate follow-up interval for each patient based on the presence of early symptoms and signs, risk factors, the onset of ocular disease, and the potential

rate of progression of a given disease. (*high quality, strong recommendation*) For example, individuals of African descent might require more frequent examinations because they are at higher risk for an earlier onset and more rapid progression of glaucoma. It is recommended that patients with the conditions and risk factors noted in Table 3 undergo a comprehensive medical eye evaluation at the listed intervals.

TABLE 3 COMPREHENSIVE MEDICAL EYE EVALUATION FOR PATIENTS WITH DIABETES MELLITUS OR RISK FACTORS FOR GLAUCOMA

Condition/Risk Factor	Frequency of Evaluation*	
Diabetes Mellitus	Recommended Time of First Examination	Recommended Follow-up*
Type 1 ¹⁵³	5 years after onset** (II++, moderate quality, strong recommendation)	Yearly (II++, moderate quality, strong recommendation)
Type 2 ¹⁵⁴	At time of diagnosis (II++, moderate quality, strong recommendation)	Yearly (II++, moderate quality, strong recommendation)
Prior to pregnancy ¹⁵⁵⁻¹⁵⁷ (Type 1 or 2)	Prior to conception and early in the first trimester (I, high quality, strong recommendation)	See Diabetic Retinopathy PPP ⁷⁴ for interval recommendations based on findings at first examination (I, high quality, strong recommendation)
Risk Factors for Glaucoma^{35,39,89,93,94,158}	Frequency of Evaluation*	
Age 65 years or older	Every 1–2 years* (moderate quality, strong recommendation)	
Age 55–64 years	Every 1–2 years (moderate quality, strong recommendation)	
Age 40–54 years	Every 1–3 years (moderate quality, strong recommendation)	
Under 40 years	Every 1–2 years (moderate quality, strong recommendation)	

* The ophthalmologist's assessment of degree of risk, abnormal findings, or potential loss of visual function may dictate more frequent follow-up examinations than listed in this table. If the patient has additional glaucoma risk factors, the Primary Open-Angle Glaucoma Suspect PPP should be consulted.¹⁵⁹

** Some patients may require refractive management during this period.

Category III: Conditions That Require Intervention

For a patient with ophthalmic or refractive abnormalities, the ophthalmologist prescribes glasses, contact lenses, or other optical devices; treats with medications; arranges for additional evaluation, testing, and follow-up as appropriate; and performs nonsurgical or surgical procedures, including laser surgery when indicated.

The ophthalmologist should ensure that the patient is informed of relevant examination findings and the need for further evaluation, testing, treatment, or follow-up. (*high quality, strong recommendation*) Also, relevant ophthalmic findings should be shared with the patient's primary care physician or other specialists, as appropriate. (*high quality, strong recommendation*) For a patient with systemic abnormalities, the ophthalmologist may advise further evaluation or referral, as appropriate. (*high quality, strong recommendation*)

Vision rehabilitation attempts to restore as much functional ability as possible,¹⁶⁰ and patients with reduced visual function may be referred for vision rehabilitation and social services.¹⁶¹ (*high quality, strong recommendation*) More information on vision rehabilitation, including materials for patients, is available at www.aao.org/smart-sight-low-vision.

PROVIDER AND SETTING

Of all health care providers, the ophthalmologist, as a physician with full medical training, best combines a thorough understanding of ocular pathology and disease processes; familiarity with systemic disorders that have ocular manifestations; and clinical skills and experience in ocular diagnosis, treatment, and medical decision making. This makes the ophthalmologist the most qualified professional to perform and oversee a comprehensive medical eye evaluation. (*high quality, strong recommendation*) Frequently, and appropriately, specific testing and data collection are conducted by trained personnel working under the ophthalmologist's supervision.



APPENDIX 1. QUALITY OF OPHTHALMIC CARE CORE CRITERIA

*Providing quality care
is the physician's foremost ethical obligation, and is
the basis of public trust in physicians.
AMA Board of Trustees, 1986*

Quality ophthalmic care is provided in a manner and with the skill that is consistent with the best interests of the patient. The discussion that follows characterizes the core elements of such care.

The ophthalmologist is first and foremost a physician. As such, the ophthalmologist demonstrates compassion and concern for the individual, and utilizes the science and art of medicine to help alleviate patient fear and suffering. The ophthalmologist strives to develop and maintain clinical skills at the highest feasible level, consistent with the needs of patients, through training and continuing education. The ophthalmologist evaluates those skills and medical knowledge in relation to the needs of the patient and responds accordingly. The ophthalmologist also ensures that needy patients receive necessary care directly or through referral to appropriate persons and facilities that will provide such care, and he or she supports activities that promote health and prevent disease and disability.

The ophthalmologist recognizes that disease places patients in a disadvantaged, dependent state. The ophthalmologist respects the dignity and integrity of his or her patients, and does not exploit their vulnerability.

Quality ophthalmic care has the following optimal attributes, among others.

- ◆ The essence of quality care is a meaningful partnership relationship between patient and physician. The ophthalmologist strives to communicate effectively with his or her patients, listening carefully to their needs and concerns. In turn, the ophthalmologist educates his or her patients about the nature and prognosis of their condition and about proper and appropriate therapeutic modalities. This is to ensure their meaningful participation (appropriate to their unique physical, intellectual, and emotional state) in decisions affecting their management and care, to improve their motivation and compliance with the agreed plan of treatment, and to help alleviate their fears and concerns.
- ◆ The ophthalmologist uses his or her best judgment in choosing and timing appropriate diagnostic and therapeutic modalities as well as the frequency of evaluation and follow-up, with due regard to the urgency and nature of the patient's condition and unique needs and desires.
- ◆ The ophthalmologist carries out only those procedures for which he or she is adequately trained, experienced, and competent, or, when necessary, is assisted by someone who is, depending on the urgency of the problem and availability and accessibility of alternative providers.
- ◆ Patients are assured access to, and continuity of, needed and appropriate ophthalmic care, which can be described as follows.
 - ◆ The ophthalmologist treats patients with due regard to timeliness, appropriateness, and his or her own ability to provide such care.
 - ◆ The operating ophthalmologist makes adequate provision for appropriate pre- and postoperative patient care.
 - ◆ When the ophthalmologist is unavailable for his or her patient, he or she provides appropriate alternate ophthalmic care, with adequate mechanisms for informing patients of the existence of such care and procedures for obtaining it.
 - ◆ The ophthalmologist refers patients to other ophthalmologists and eye care providers based on the timeliness and appropriateness of such referral, the patient's needs, the competence and qualifications of the person to whom the referral is made, and access and availability.

- ◆ The ophthalmologist seeks appropriate consultation with due regard to the nature of the ocular or other medical or surgical problem. Consultants are suggested for their skill, competence, and accessibility. They receive as complete and accurate an accounting of the problem as necessary to provide efficient and effective advice or intervention, and in turn they respond in an adequate and timely manner. The ophthalmologist maintains complete and accurate medical records.
- ◆ On appropriate request, the ophthalmologist provides a full and accurate rendering of the patient's records in his or her possession.
- ◆ The ophthalmologist reviews the results of consultations and laboratory tests in a timely and effective manner and takes appropriate actions.
- ◆ The ophthalmologist and those who assist in providing care identify themselves and their profession.
- ◆ For patients whose conditions fail to respond to treatment and for whom further treatment is unavailable, the ophthalmologist provides proper professional support, counseling, rehabilitative and social services, and referral as appropriate and accessible.
- ◆ Prior to therapeutic or invasive diagnostic procedures, the ophthalmologist becomes appropriately conversant with the patient's condition by collecting pertinent historical information and performing relevant preoperative examinations. Additionally, he or she enables the patient to reach a fully informed decision by providing an accurate and truthful explanation of the diagnosis; the nature, purpose, risks, benefits, and probability of success of the proposed treatment and of alternative treatment; and the risks and benefits of no treatment.
- ◆ The ophthalmologist adopts new technology (e.g., drugs, devices, surgical techniques) in judicious fashion, appropriate to the cost and potential benefit relative to existing alternatives and to its demonstrated safety and efficacy.
- ◆ The ophthalmologist enhances the quality of care he or she provides by periodically reviewing and assessing his or her personal performance in relation to established standards, and by revising or altering his or her practices and techniques appropriately.
- ◆ The ophthalmologist improves ophthalmic care by communicating to colleagues, through appropriate professional channels, knowledge gained through clinical research and practice. This includes alerting colleagues of instances of unusual or unexpected rates of complications and problems related to new drugs, devices, or procedures.
- ◆ The ophthalmologist provides care in suitably staffed and equipped facilities adequate to deal with potential ocular and systemic complications requiring immediate attention.
- ◆ The ophthalmologist also provides ophthalmic care in a manner that is cost effective without unacceptably compromising accepted standards of quality.

Reviewed by: Council
Approved by: Board of Trustees
October 12, 1988

2nd Printing: January 1991

3rd Printing: August 2001

4th Printing: July 2005



APPENDIX 2. LITERATURE SEARCHES FOR THIS PPP

Literature searches of the PubMed database were conducted on February 25, 2015; the search strategies were as follows. Specific limited update searches were conducted after February 25, 2015.

1. "activities of daily living"[MeSH Terms] AND ("vision disorders"[MeSH Terms] OR "visual acuity"[MeSH Terms] OR "visual fields"[MeSH Terms] OR "visually impaired persons"[MeSH Terms]). Limits: English, Publication Date from 2010/02/25. Retrieved 241 citations.
2. "quality of life"[MeSH Terms] AND ("vision disorders"[MeSH Terms] OR "visual acuity"[MeSH Terms] OR "visual fields"[MeSH Terms] OR "visually impaired persons"[MeSH Terms]) Limits: English, Publication Date from 2010/02/25. Retrieved 407 citations.
3. "diagnosis"[MeSH Terms] AND "vision disorders"[MeSH Terms] Limits: Clinical Trial, Practice Guideline, English, Publication Date from 2010/02/25. Retrieved 376 citations.
4. Socioeconomic information: Limits: Adult, English, Human, Publication Date from 2010/02/25. Retrieved 16 unique citations.



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To order any of these products, please contact the Academy's Customer Service at 866.561.8558 (U.S. only) or 415.561.8540 or www.aao.org/store.



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